

COHOMOLOGICAL AND BIRATIONAL ASPECTS OF THE  
MODULI SPACE OF CURVES  
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1 INTRODUCTION

The story starts in 1857, with the famous paper of Riemann, in which he defined a space parametrizing the isomorphism classes of Riemann surfaces of fixed genus. He computed correctly the dimension of this space,  $\mathcal{M}_g$ , that is  $3g - 3$  (when  $g \geq 2$ ).

*Lecture 1 (1 hour)  
June 11<sup>th</sup>, 2012*

In 1890, Clebsch and Hurwitz proved that  $\mathcal{M}_g$  is connected using  $\mathcal{H}_{g,k}$ , the space of  $k : 1$  covers of  $\mathbb{P}^1$  of genus  $g$ ; this space has two projections, to  $\mathcal{M}_g$  and to  $\mathbb{P}^b = \text{Sym}^b \mathbb{P}^1$  (since each cover has  $b = 2g + 2k - 2$  simple branch points). If we fix  $b$  points on  $\mathbb{P}^1$ , then to give a cover is equivalent to give the monodromy, that is a morphism  $\varrho_f: \pi_1(\mathbb{P}^1 \setminus \{p_1, \dots, p_b\}) \rightarrow S_k$ , with the basic loops mapping to transpositions (to force the branch points to be simple). Then Clebsch and Hurwitz proved that the covering space is connected. A modern treatment of this can be found in the 1969 paper by Fulton.

In 1943, Teichmüller constructed  $\mathcal{M}_g$  in a completely different way, starting from what it is now called the Teichmüller space and taking a quotient by the mapping class group. It is constructed as an analytic variety.

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Despite all the results proved on  $\mathcal{M}_g$ , only in 1965 Mumford proved that it actually exists (as an algebraic variety). Indeed, Riemann only defined it as a set, which is not really useful by itself.

Having  $\mathcal{M}_g$  defined as a variety gives some interesting properties. A minimalistic one is that if we have a flat family  $f: X \rightarrow T$  of smooth curves of genus  $g$ , then there should be an associated algebraic function  $m(f): T \rightarrow \mathcal{M}_g$  such that  $m(f)(t) = [X_t]$  for each  $t \in T$ .

More sophisticated properties require discussing the difference between a coarse and a fine moduli space. Consider the (contravariant) moduli functor  $F_{\mathcal{M}_g}: \mathfrak{Sch} \rightarrow \mathfrak{Sets}$  that sends a scheme to the set of flat families over  $T$  of curves of genus  $g$ . We say that a space  $\mathcal{M}_g$  is a fine moduli space of curves if  $F_{\mathcal{M}_g}$  is equivalent to the functor  $\text{Hom}(\bullet, \mathcal{M}_g)$ .

Suppose we have a fine moduli space  $\mathcal{M}_g$ ; then we have a special element in  $\text{Hom}(\bullet, \mathcal{M}_g)$ , namely  $\text{id}_{\mathcal{M}_g}$ ; this would be associated to a universal family of curves  $\mathcal{C} \rightarrow \mathcal{M}_g$  that would induce every flat family of curves. But this is not possible in general, because not every isotrivial family (with all fibers isomorphic) is trivial. This has to do with the fact that curves have non-trivial automorphisms.

**1.1 EXAMPLE.** Take a curve of genus at least 2 with an automorphism (for example, a hyperelliptic curve); take a non-trivial subgroup  $G$  of  $\text{Aut}(C)$ ; then  $G$  acts on all elliptic curves by translations. The quotient  $C \times E/G$  is a fibration to the elliptic curve  $E/G = D$ , whose fibers are all isomorphic to  $C$ , but it is not trivial.

There are two ways now that one can walk through: the first started with Mumford in the sixties, and consists of considering stacks instead of schemes, where the functor  $F_{\mathcal{M}_g}$  is representable. The other is to consider just the coarse moduli space  $\mathcal{M}_g$ . It is defined as a space that admits a natural transformation  $F_{\mathcal{M}_g} \rightarrow \text{Hom}(\bullet, \mathcal{M}_g)$  (this is the minimalistic property we asked before); another property we want is that the points of this space are in a bijection with the actual isomorphism classes of curves, that is,  $F_{\mathcal{M}_g}(k) = \text{Hom}(k, \mathcal{M}_g)$  for every field  $k$  algebraically closed; finally, we require a universal property: every natural transformation  $F_{\mathcal{M}_g} \rightarrow \text{Hom}(\bullet, N)$ , where  $N$  is a scheme, satisfying the second property, factors through the natural transformation to  $\text{Hom}(\bullet, \mathcal{M}_g)$ .

Mumford proved that this coarse moduli space exists (as a quasi-projective scheme) using geometric invariant theory (nowadays this can be proved more economically using Kuranishi families). After Mumford's proof, all the theorems on the structure of  $\mathcal{M}_g$  could be applied to the space  $\mathcal{M}_g$  constructed by him.

## 2 COMPACTIFICATION

Consider the family  $y^2 = x^3 + tx^2$ : it has as fiber a smooth elliptic curve for each  $t$ , but for  $t = 0$  it has a cusps. One would like to fill this point in a consistent way. This has been done in 1969 by Deligne and Mumford using

stable curves. A stable curve is a nodal curve with finite automorphism group, or such that every rational component intersect the rest of the curve in at least three points. They proved that adding these curves is enough to produce a compact space,  $\overline{\mathcal{M}}_g$ .

Lecture 2 (1 hour)  
June 12<sup>th</sup>, 2012

A good way to visualize stable curves is by using their dual graph, with a vertex for each irreducible component and an edge for every node. Each graph correspond to a topological stratum of  $\overline{\mathcal{M}}_g$ , and these strata are parametrized by moduli spaces of curves of lower genera. The dual graph language comes really handy when you would like to compute the intersection of two strata and degenerations of curves. Indeed, a graph corresponds to a stratum of codimension equal to the number of edges in the graph, and if a graph  $H$  is obtained from  $G$  collapsing an edge, we say that  $G$  is a specialization of  $H$ , and we know that the stratum of  $G$  is in the closure of the stratum of  $H$ .

Why is  $\overline{\mathcal{M}}_g$  complete? The answer lies in the stable reduction theorem, that states that given a one dimensional family of curves (over a DVR, or a disk), with all fibers but the one over 0 smooth, we can replace the fiber over 0 with a stable curve (maybe after doing a finite base change). This is equivalent to the statement that given a map from the disk without 0 to  $\overline{\mathcal{M}}_g$ , we can always extend the map. The proof uses the standard theory of resolution of singularity for a surface: after blowing up we can assume that the total space of the family (which is a surface) is smooth, and that the central fiber has normal crossing singularities, but it could be non-reduced. The finite base change is needed exactly to make the central fiber reduced.

2.1 EXAMPLE. Suppose we have the family  $y^2 = x^3 + t$ ; we have a cusp point in the central fiber, so we blow up this point to obtain a smooth curve  $\tilde{C}$  tangent to the exceptional divisor  $E_1$ , which is not good enough (we want normal crossing). The fiber is  $2E_1 + \tilde{C}$ . Blowing up again, we will have three curves,  $E_1$ ,  $E_2$  and  $\tilde{C}$ , all converging to a point, and the fiber is  $\tilde{C} + 2E_1 + 3E_2$ . Blowing up again we obtain a nodal curve,  $E_3$  intersecting each of the other three curves in a point; the fiber is then  $\tilde{C} + 2E_1 + 3E_2 + 6E_3$ . Now we have to perform a base change, the smallest one is the lcm of the coefficients. In this situation is easier to perform two base changes, of prime order (that is easier). Geometrically, if the central fiber is  $\sum a_i D_i$ , a base change of finite order  $r$  has the effect of taking a branch cover over the divisor  $\sum (a_i \bmod r) D_i$ . So, taking a base change of order 2 is like a taking a branched cover over  $\tilde{C} + E_2$ ; these two divisors survive with the same multiplicity;  $E_1$  will be replaced by two components of multiplicity 1, and  $E_3$  survive (since the quotient of a rational curve is rational) with multiplicity  $3 = 6/2$ . Taking the base change of order 3 is now like taking a branch cover over  $\tilde{C} + E_1' + E_1''$ , and  $E_2$  get replaced by three copies;  $E_3$  is substituted by a cover of order 3 of  $E_3$  ramified on 3 points, that is, an elliptic curve. We can now blow down the  $-1$  curves and the only components remaining are  $\tilde{C} + \tilde{E}_3$ . It is interesting to note that  $\tilde{E}_3$  is not a random elliptic curve, that it is the Fermat cubic ( $j = 0$ ).

2.2 EXAMPLE. A similar example is when we identify two points  $p$  and  $q$  on a curve  $C$ , and we let  $q \rightarrow p$ . The family we can choose is  $C \times C$ , identifying two sections, one constant and one diagonal. Here we just need to blow up once

the cusp, and perform no base changes. The additional component will be the elliptic curve with  $j = \infty$  with two points identified.

### 3 DEFORMATION THEORY OF STABLE CURVES

Recall that in the functor viewpoint (that is, we assume to work on the stack  $\mathcal{M}_g$ ),  $F_{\overline{\mathcal{M}}_g}(B)$  should be equal to  $\text{Hom}(B, \overline{\mathcal{M}}_g)$ . When  $B$  is the spectrum of the dual numbers  $\mathbb{C}[t]/t^2$ , we get the tangent space at the point  $[C] \in \overline{\mathcal{M}}_g$ , where  $[C]$  is the fiber over  $(t)$ . Associated to the map  $B \rightarrow \overline{\mathcal{M}}_g$  we have a curve  $\pi: \mathcal{C} \rightarrow B$ , and an exact sequence

$$0 \rightarrow \pi^* \Omega_B^1|_{\mathcal{C}} = \mathcal{O}_{\mathcal{C}} \rightarrow \Omega_{\mathcal{C}}^1 \rightarrow \Omega_C^1 \rightarrow 0,$$

because  $B$  is a disk. Taking the long exact sequence, we obtain that the tangent space is  $\text{Ext}^1(\Omega_C^1, \mathcal{O}_C) = \text{Ext}^1(\Omega_C^1 \otimes \omega_C, \omega_C) = H^0(C, \omega_C \otimes \Omega_C)$ , tensoring by  $\omega_C$  and using Serre duality (recall that  $\Omega_C^1$  is the sheaf of differential forms, whereas  $\omega_C$  is the dualizing sheaf that is locally free).

Globalizing, we can take the universal cover  $\mathcal{C}_g \rightarrow \overline{\mathcal{M}}_g$  and the cotangent bundle will be  $T_{\overline{\mathcal{M}}_g}^\vee = \pi_*(\Omega_\pi^1 \otimes \omega_\pi)$ .

Let  $C$  be a stable curve, with singularities  $p_1, \dots, p_\delta$ , and let  $i: \tilde{C} \rightarrow C$  be the normalization, where  $i^{-1}(p_i) = \{x_i, y_i\}$ . Then  $\omega_C = i_*(\omega_{\tilde{C}}(\sum x_i + y_i))$ . Locally around a node, the Kähler differentials are not locally free: if the node is  $xy = 0$ , then  $y(xdy) = 0$  (that implies that  $\Omega_C^1$  is not locally free). On the other hand,  $\omega_C$  is locally free, and at a node it is generated by  $dx/x$  (if  $y = 0$ ), or viceversa.

We have then a local exact sequence

$$0 \rightarrow \text{Tors}(\Omega_C^1) \rightarrow \Omega_C^1 \rightarrow \omega_C \rightarrow \bigoplus_{p \in \text{Sing}(C)} \mathbb{C}_p \rightarrow 0$$

(the last map is the residue map). We can globalize this sequence too: consider again the universal curve and let  $\Sigma$  be the set of all points  $[C, p]$  of  $\overline{\mathcal{C}}_g$  such that  $p$  is a node of  $C$ . It has codimension 2 in  $\overline{\mathcal{C}}_g$ . One can prove that  $\Omega_\pi^1 = \omega_\pi \otimes \mathcal{I}_\Sigma$ , and so  $\pi_*(\omega_\pi^{\otimes 2} \otimes \mathcal{I}_\Sigma) = \Omega_{\overline{\mathcal{M}}_g}^1$ .

For any curve in  $\overline{\mathcal{M}}_g$ , we have that  $H^0(\omega_C \otimes \Omega_C)^\vee / \text{Aut}(C)$  is a local model around  $[C]$  for  $\overline{\mathcal{M}}_g$ .

### 4 ON UNIRATIONALITY

4.1 THEOREM (Severi, 1915). *The space  $\mathcal{M}_g$  is unirational for  $g \leq 10$ .*

Unirationality is an important property for a moduli space: it means that every curve can be described by some parameters and there are no “hidden” equations on these parameters. Severi conjectured that this was true for all genera, and this was a very believable conjecture.<sup>1</sup>

<sup>1</sup>Rationality is known to be true for  $g \leq 6$ .

To prove such a statement, one needs to construct a map from a rational variety to  $\mathcal{M}_g$ . Recall that it is defined

$$W_d^r(C) = \{L \in \text{Pic}^d(C) \mid h^0(L) \geq r + 1\},$$

that for the general curve has dimension  $\rho \equiv (r + 1)(g - d + r)$ . For  $r = 2$ , we obtain that the generic curve  $[C] \in \mathcal{M}_g$  has a  $g_d^r$  where  $d \geq (2g + 16)/3$ .

Now, take the embedding given by the  $g_{d'}^r$  that has a nodal image  $\Gamma \subseteq \mathbb{P}^2$  with  $\delta = \binom{d-1}{2} - g$  nodes. If one could prove that the nodes are in general position, then we are done. Consider the incidence correspondence

$$\Sigma = \{(\Gamma, p_1, \dots, p_\delta) \mid \deg \Gamma = d, \{p_1, \dots, p_\delta\} = \text{Sing}(\Gamma)\}.$$

We have two maps  $\pi_1: \Sigma \rightarrow \mathcal{M}_g$  (the normalization) and  $\pi_2: \Sigma \rightarrow (\mathbb{P}^2)^d$ . Fibers of  $\pi_2$  are linear spaces, and if  $\pi_2$  is dominant then  $\Sigma$  is unirational, and if  $d \geq (2g - 16)/3$  also  $\mathcal{M}_g$  is unirational.

This can only happen if  $\dim \Sigma \geq 2d$ , but

$$\dim \Sigma = 3g - 3 + g - 3(g - d + 2) + \dim \text{PGL}(2 + 1) = 3d + g - 1.$$

Putting all together, the three condition are satisfied only for  $g \leq 10$ .

Recent development improved further the result, even if the conjecture is known to be false.

#### 4.2 THEOREM. $\mathcal{M}_g$ is unirational for $g \leq 14$ .

A curve of genus 14 has a finite number of  $g_8^1$  (a Catalan number). Let  $L := K_C(-g_8^1) = g_{18}^6$ : there are still finitely many  $L$  and we can use them to construct an embedding in  $\mathbb{P}^6$ . We look at quadrics containing the image, using  $S^2 H^0(L) \rightarrow H^0(L^2)$ . Since the target has dimension  $36 + 1 - 14 = 26$  and the source  $\binom{8}{2} = 28$ , the generic curve lies in exactly 5 quadrics. Let  $C'$  be the residual curve (that is,  $\overline{\cap Q_i} \setminus C$ ): in general  $C'$  is a smooth curve of degree  $2^5 - 18 = 14$ . In particular  $C'$  is a non-special genus 8 curve with a  $g_{14}^6$ . We can go also the other way round: we take the incidence variety  $\Sigma = \{(C', V^5)\}$  where  $C'$  is a genus 8 curve in  $\mathbb{P}^6$  of degree 14 and  $V^5 \in G(5, H^0(\mathcal{I}_{C'}(2)))$ . So we have projections to  $\text{Pic}_8^{14}$  (the universal Picard variety over  $\mathcal{M}_8$ ) and to  $\mathcal{M}_{14}$  (reversing the construction of before). The first map is a Grassmann bundle and so if  $\text{Pic}_8^{14}$  is unirational, then also  $\mathcal{M}_{14}$  would be. Mukai solved the question about  $\text{Pic}_8^{14}$ , and so  $\mathcal{M}_{14}$  is unirational.

Carrying along the same procedure for  $g = 15$ , we obtain that  $\mathcal{M}_{15}$  is rationally connected. It is known then that  $\mathcal{M}_{15}$  and  $\mathcal{M}_{16}$  are uniruled, but  $\mathcal{M}_{17}$  is completely open.

We saw how we can compute the local structure of  $\overline{\mathcal{M}}_g$  using the universal curve  $\pi: \overline{\mathcal{C}}_g \rightarrow \overline{\mathcal{M}}_g$ . We had two sheaves, the relative dualizing sheaf  $\omega_C$ , which is locally free, and the Kähler differential sheaf,  $\Omega_{\pi}^1$ , which is not locally free. Moreover, we have  $\Omega_{\overline{\mathcal{M}}_g} = \pi_*(\omega_\pi \otimes \Omega_\pi)$ .

Lecture 4 (1 hour)  
June 13<sup>th</sup>, 2012

4.1 Picard group of  $\overline{\mathcal{M}}_g$ 

Recall that we can see  $\overline{\mathcal{M}}_g$  as  $\mathcal{M}_g$  union  $\Delta_0$ , the locus of nodal curves with one node, union  $\Delta_i$ , the locus of curves with two components, of genera  $i$  and  $g - i$ , intersecting in a node, for  $i \in \{1, \dots, \lfloor g/2 \rfloor\}$ . Note that we consider the loci  $\Delta_i$  as closed, that is, we include in them all their degenerations. All the strata  $\Delta_i$  are in codimension 1, and we call  $\delta_i$  their classes in  $\text{Pic}(\overline{\mathcal{M}}_g)$ . We need to consider the Picard group with rational coefficients, because, for example, the correct definition of  $\delta_1$  is  $1/2[\Delta_1]$  (because of the involution in the elliptic curve).

Apart from these boundary classes, there are other “tautological” classes coming from the interior  $\mathcal{M}_g$ . We can define  $\kappa_1 := \pi_*(c_1(\omega_\pi)^2) \in H^1(\overline{\mathcal{M}}_g)$ , the Mumford  $\kappa$  class (one can define similar classes using higher powers). Finally, we define the Hodge bundle  $\mathbb{E} := \pi_*(\omega_\pi)$ , of rank  $g$ , where  $\mathbb{E}_{[C]} = H^0(\omega_C)$ . The  $\lambda$  class are  $\lambda_1 = \lambda := c_1(\mathbb{E})$ .

For genus at least 3, the Picard group of  $\text{Pic}(\overline{\mathcal{M}}_g)$  is generated by the classes  $\lambda_1, \delta_0, \dots, \delta_{\lfloor g/2 \rfloor}$ . The  $\kappa$  class is not needed, indeed the Mumford’s relation states that  $\kappa_1 = 12\lambda - \delta$ , where  $\delta = \sum \delta_i$ .

 4.2 Computing the canonical class of  $\overline{\mathcal{M}}_g$ 

We can use Grothendieck-Riemann-Roch to manipulate the identity  $\Omega_{\overline{\mathcal{M}}_g}^1 = \pi_*(\omega_\pi \otimes \Omega_\pi^1)$ : suppose we have a proper morphism  $f: X \rightarrow Y$ , and a sheaf  $\mathcal{F}$  on  $X$ ; we define  $f_!\mathcal{F} := \sum_{i \geq 0} (-1)^i R^i f_* \mathcal{F}$ ; then  $\text{ch}(f_!\mathcal{F}) = f_*(\text{ch}(\mathcal{F}) \cdot \text{td}(\Omega_f^\vee))$ , where  $\text{td}(\Omega_f^\vee) = 1 - \frac{c_1(\Omega_f)}{2} + \frac{c_1^2(\Omega_f) + c_2(\Omega_f)}{12} + \dots$ .

In our case,  $\mathcal{F} = \omega_\pi \otimes \Omega_\pi$ ,  $R^1 \pi_* \mathcal{F} = 0$  for dimensional consideration (the stalk is a curve) and so  $\pi_!\mathcal{F} = \pi_* \mathcal{F}$ . Also,  $c_1(\omega_\pi) = c_1(\Omega_\pi)$ ,  $c_2(\Omega_\pi) = [\Sigma] \in H^2(\overline{\mathcal{C}}_g)$ . Applying GRR, we obtain (recall that  $\Sigma$  is the locus of nodes, hence  $\pi_*(\Sigma) = \delta$ )

$$\begin{aligned} k_{\overline{\mathcal{M}}_g} &= c_1(\pi_* \mathcal{F}) = \\ &= \pi_* \left[ 1 + c_1(\omega_\pi \otimes \Omega_\pi) + \frac{c_1^2(\omega_\pi \otimes \Omega_\pi) - 2c_2(\omega_\pi \otimes \Omega_\pi)}{2} \right. \\ &\quad \left. \cdot \left( 1 - \frac{c_1(\Omega_\pi)}{2} + \frac{c_1^2(\Omega_\pi) + c_2(\Omega_\pi)}{12} \right) \right] = \\ &= -\pi_*(c_1^2(\omega_\pi)) + \frac{\pi_*(c_1^2(\omega_\pi))}{12} + \frac{\delta}{12} + 2\pi_*(c_1^2(\omega_\pi)) = \\ &= \frac{13}{12}\kappa_1 - \frac{11}{12}\delta = \\ &= \frac{13}{12}(12\lambda - \delta) - \frac{11}{12}\delta = \\ &= 13\lambda - 2\delta. \end{aligned}$$

This computation was on the stack; for the space, we have a map  $\overline{\mathcal{M}}_g \rightarrow \overline{\mathcal{M}}_g$ ,

branched along  $\Delta_1$ , and we can compute the result using Hurwitz formula:

$$k_{\overline{\mathcal{M}}_g} = 13\lambda - 2\delta_0 - 3\delta_1 - 2\delta_2 - \cdots - 2\delta_{\lfloor g/2 \rfloor}.$$

Using this computation, we can disprove the conjecture by Severi (that  $\overline{\mathcal{M}}_g$  is unirational for all  $g$ ) in the most spectacular way.

4.3 THEOREM (Harris-Mumford-Eisenbud). *The space  $\overline{\mathcal{M}}_g$  is of general type for  $g \geq 24$ .*

To prove such a theorem, in theory one would proceed trying to prove that  $k_{\overline{\mathcal{M}}_g}$  is effective (or big). There are serious issue on singularities of  $\overline{\mathcal{M}}_g$ , but we will ignore it since the main result is that these singularities does not impose adjunction conditions (by the Reid-Tai criterion).

The first fact is that the Hodge class  $\lambda$  is very positive, indeed is big and nef on  $\overline{\mathcal{M}}_g$ . This is already non-trivial and derives from the Torelli problem applied to stable curves (the map sends a curve to the Jacobian of its normalization in the Satake compactification of  $\mathcal{A}_g$ ). One proves that this map  $t$  is regular, and that  $\lambda$  is the pullback via  $t$  of  $\mathcal{O}(1)$ , hence  $\lambda$  is big and nef.

Now we need to look if (and for which genera)  $\lambda$  is positive enough. The good thing to work on the moduli space of curves instead on a random variety is that we can define geometric sensible loci in  $\overline{\mathcal{M}}_g$  using some property of curves that are not enjoyed by all curves, and if we have a good characterization we can also compute the class of these loci. For example, we have the loci  $\mathcal{M}_{g,d}^r \subseteq \overline{\mathcal{M}}_g$  of curves admitting a  $g_d^r$ . If the Brill-Noether number  $\varrho = (r+1)(g-d+r)$  is  $-1$ , then  $\mathcal{M}_{g,d}^r$  is a divisor, and Eisenbud and Harris computed its class, that is

$$[\mathcal{M}_{g,d}^r] = c \left( (g+3)\lambda + \frac{g+1}{6}\delta_0 - \sum i(g-i)\delta_i \right).$$

Note that this formula does not depend on  $r$  and  $d$ .

4.4 EXAMPLE. For  $g = 3, r = 1, d = 2$ , for example, we can look at  $\mathcal{M}_{3,2}^1 = \mathcal{H}$ , the locus of hyperelliptic curves. We can write  $[\mathcal{H}] = a\lambda - b_0\delta_0 - b_1\delta_1$ , and we can compute the coefficients intersecting this locus with three other loci in  $\overline{\mathcal{M}}_3$  of dimension 1. The first,  $C_0$  is a curve of genus 2 with  $x$  identified with  $p$  and  $x$  moving on the curve, inside  $\Delta_0$ ; the second,  $C_1$ , is an elliptic curves intersecting with a genus two curve in a moving point, and this locus is in  $\Delta_1$ ; the third,  $R$  is the locus of a curve of genus 2 intersecting a pencil of cubics in a fixed point.

Consider  $C_1$ : its intersection with  $\lambda$  is zero because when we use the Torelli map, we forget about the marked point on the genus 2 curve and so the point does not move anymore. The intersection with  $\delta_0$  is 0 again, and the intersection with  $\delta_1$  is  $-\deg k_C = -2$ .

We can compute all the other intersections in similar ways, obtaining  $[\mathcal{H}] = 9\lambda - \delta_0 - 3\delta_1$ .

Lecture 5 (1 hour)  
June 14<sup>th</sup>, 2012

Assume to have a divisor  $D$  in  $\overline{\mathcal{M}}_g$ , whose support does not intersect  $\Delta_i$ ; then we can write its class as  $[D] = a\lambda - \sum b_i\delta_i$ , with all coefficients non-negative. We define the slope of  $D$  as  $s(D) = a / \min b_i \geq 0$ : for example,  $s(\lambda) = \infty$ . We also define  $s(\overline{\mathcal{M}}_g) := \inf s(D) \geq 0$  (where the infimum is taken over all effective divisors).

Note that whenever  $s(\overline{\mathcal{M}}_g) < s(k_{\overline{\mathcal{M}}_g}) = 13/2$ ,  $\overline{\mathcal{M}}_g$  is of general type; on the other hand, if  $s(\overline{\mathcal{M}}_g) > 13/2$ , then  $\overline{\mathcal{M}}_g$  is uniruled. Indeed, in the first case we know that we can write  $k_{\overline{\mathcal{M}}_g} = \alpha\lambda + \beta D + \Delta$ , where  $\Delta$  is some combination of boundary divisors; but then  $\lambda$  is big and nef, so in this case also  $k_{\overline{\mathcal{M}}_g}$  is big and nef. In the other case, we can use the estimate on the slope to prove that the canonical divisor is not pseudo-effective and this solve the problem by a theorem of Bouckson, Demaille, Peternell, and Paun.

By the theorem of Harris-Mumford, we know that  $s(\overline{\mathcal{M}}_{g,d}^r) = 6 + \frac{12}{g+1}$ , that is less than  $13/2$  for  $g > 23$ , that is,  $\overline{\mathcal{M}}_g$  is of general type for  $g > 23$ . The problem is constructing a divisor of slope less than  $13/2$ , and they used the Brill-Noether divisor.

4.5 CONJECTURE (Slope, Harris-Morrison, 1990). *The slope of  $\overline{\mathcal{M}}_g$  is at least  $6 + \frac{12}{g+1}$  with equality for  $g + 1$  composite and realized by the Brill-Noether divisor.*

If the conjecture were true, it would prove that  $\overline{\mathcal{M}}_g$  is uniruled for  $g < 23$ .

## 5 CURVES ON K3 SURFACES

Let  $S$  be a K3 surface with a curve  $C$  of genus  $g$ . Let  $\{C_\lambda\}_{\lambda \in \mathbb{P}^1}$  be a Lefschetz pencil, that is, if we take two curves  $C_1, C_2 \subseteq S$  in the pencil, then they intersect in  $2g - 2$  points. We blow up these points, obtaining a fibration over  $\mathbb{P}^1$ . This construction induces a  $\mathbb{P}^1$  inside  $\overline{\mathcal{M}}_g$ .

The intersection  $R \cdot \lambda$  is  $\chi(\hat{S}, \mathcal{O}_{\hat{S}}) + g - 1 = g + 1$ , while  $R \cdot \delta_i = 0$  for  $i > 0$ , and so  $R \cdot \delta_0 = R \cdot \delta$ . But then we have a formula for the Euler characteristic of the fibration:  $e(\hat{S}) = e(\mathbb{P}^1)e(F) + R \cdot \delta$ , therefore  $R \cdot \delta_0 = R \cdot \delta = 6(g + 3)$ .

We obtain in particular that  $\frac{R \cdot \delta}{R \cdot \lambda} = 6 + \frac{12}{g+1} = s(\overline{\mathcal{M}}_{g,d}^r)$ .

Now, consider the moduli space  $\mathcal{F}_g$  of K3 surfaces polarized of degree  $h^2 = 2g - 2$ , of dimension 19; then there is  $\mathcal{P}_g$ , the moduli space of K3 surfaces together with a curve in  $|h|$ , of dimension  $g$ . This latter space have a map  $\pi_1$  to the former (forgetting the curve) and  $\pi_2$  to  $\mathcal{M}_g$  (forgetting the surface). In particular,  $\pi_2(\mathcal{P}_g)$  is the locus  $k_g$  of curves on K3 surfaces.

5.1 PROPOSITION (Farkas-Popa). *If  $D \subseteq \overline{\mathcal{M}}_g$  is an effective divisor of slope  $6 + \frac{12}{g+1}$ , then  $D \supseteq k_g$ .*

If the slope conjecture were true, then this statement would be empty. In particular, for  $g \leq 9$  and  $g = 11$ , we have  $k_g = \mathcal{M}_g$ , hence the slope conjecture is true. In genus 10, the map  $\pi_2$  has fiber dimension equal to 3 (Mukai), hence  $k_{10}$  is a divisor and the only possible counter-example to the slope conjecture in this genus. And indeed, it is a counterexample, because computing the class

gives  $[k_{10}] = 7\lambda - \delta_0 - 5\delta_1 - 9\delta_2 - 12\delta_3 - 14\delta_4 - 15\delta_5$ , so the slope is 7.

5.2 THEOREM (Farkas-Popa). *The slope conjecture is false in genus 10.*

## 6 KOSZUL COHOMOLOGY

Lecture 6 (1 hour)  
June 15<sup>th</sup>, 2012

We have constructed a divisor  $k_{10}$ , called the Koszul divisor, in  $\mathcal{M}_{10}$ , with slope less than  $6 + 12/(g+1)$ . How can one generalize such a divisor? The answer is syzygies, and Koszul cohomology. Consider a projectively normal curve  $C$ ,  $L$  a line bundle with corresponding embedding  $C \rightarrow \mathbb{P}H^0(L)^\vee =: \mathbb{P}^\vee$ ; then we compute a minimal free graded resolution

$$\cdots \rightarrow F_2 \rightarrow F_1 \rightarrow I_C \rightarrow 0,$$

so that  $F_i = \bigoplus_{j \in \Sigma} S(-i-j)^{b_{ij}}$ . The Koszul cohomology can compute the  $b_{i,j} = b_{i,j}(C, L) = \dim_{\mathbb{C}} \text{Tor}^i(S(C, L), \mathbb{C})$ , the  $i$ -th order syzygies of degree  $j$ . The Koszul cohomology is constructed from the sequence

$$\begin{aligned} \bigwedge^{i+1} H^0(L) \otimes H^0(L^{j-1}) &\xrightarrow{d_{i-1,j-1}} \bigwedge^i H^0(L) \otimes H^0(L^j) \xrightarrow{d_{i,j}} \\ &\xrightarrow{d_{i,j}} \bigwedge^{i-1} H^0(L) \otimes H^0(L^{j+1}) \rightarrow \cdots, \end{aligned}$$

where  $d_{i,j}(f_1 \wedge \cdots \wedge f_i \otimes s) = \sum_l (-1)^i f_1 \wedge \cdots \wedge \hat{f}_l \cdots \wedge f_i \otimes f_l s$ . The Koszul cohomology is then  $k_{i,j}(C, L) = \ker d_{i,j} / \text{Im } d_{i+1,j+1}$ . Indeed,  $b_{i,j} = \dim k_{i,j}$ .

We can stratify  $\mathcal{M}_g$  using syzygies. We fix integers  $g, r, d$  such that  $g - (r+1)s = 0$ , where  $s = (g-d+r)$  (in particular,  $g = rs + s$ ). We define the space  $\mathcal{G}_d^r = \{(C, L) \mid L = g_d^r\}$ , that has a projection  $\sigma$  to  $\mathcal{M}_g$ , and  $\sigma$  is a generically finite covering. We define also the subspace  $\mathcal{Z}_{g,i} := \{(C, L) \in \mathcal{G}_d^r \mid k_{i,2}(C, L) \neq 0\}$ , that is, the space of couples for which we have non-linear syzygies of order  $i$ . We want to consider  $[\sigma_*|_{\mathcal{Z}_{g,i}}] \in H^*(\mathcal{M}_g)$ .

We can expect this element to be a divisor on  $\mathcal{M}_g$  when  $H^0(\Omega_{\mathbb{P}^r}^i(i+2)) \rightarrow H^0(\Omega_{\mathbb{P}^r}^0(i+2)|_C)$  is a map between vector spaces of the same rank (in this case, the rank is  $r = 2s + si + i$ ). The Lazarsfeld bundle of  $(C, L)$  is the rank  $r$  vector bundle  $M_L$  fitting in the exact sequence

$$0 \rightarrow M_L \rightarrow H^0(L) \otimes \mathcal{O}_C \xrightarrow{\text{ev}} K \rightarrow 0;$$

using it we can reformulate the map as  $H^0(\wedge^i M_{\mathbb{P}^r}(2)) \rightarrow H^0(\wedge^i M_L \otimes L^i)$ , since  $M_{\mathbb{P}^r} = \Omega_{\mathbb{P}^r}(i)$ ; so we can reformulate  $\mathcal{Z}_{g,i}$  as the locus in  $\mathcal{G}_d^r$  of  $(C, L)$  such that that map is not an isomorphism.

Summing up, taking  $s, i \geq 0$ , and putting  $r = 2s + si + i$ ,  $g = rs + s$ , then  $\mathcal{U}_{g,i} = \sigma_*(\mathcal{Z}_{g,i}) = \{[C] \in \mathcal{M}_g \mid \exists L = g_d^r : k_{i,2}(C, L) \neq 0\}$  is a virtual divisor on  $\mathcal{M}_g$ .

6.1 EXAMPLE. If  $i = 0$ , then  $r = 2s$ ,  $g = s(2s+1)$ ,  $d = 2s(s+1)$ ; then  $\mathcal{Z}_{g,0} = \{(C, L) \in \mathcal{G}_d^r \mid S^2 H^0(L) \xrightarrow{\sim} H^0(2L)\}$ . The first space has dimension  $\binom{r+2}{2}$ , the

second  $2d + 1 - g$ . In the case  $s = 2, i = 0, g = 10$ , then  $\sigma_*(\mathcal{Z}_{10,0})$  is the space of curves such that there exists a  $g_{13}^4$  that maps the curve inside a quadric in  $\mathbb{P}^4$ , and as a divisor is equal to  $42k_{10}$ .

“Virtual” divisor means that we have good reasons to hope that it is a divisor, but there is no guarantee that it will be an actual divisor. In the examples, it is not hard to prove that the constructed subvarieties are honest divisors, but in general it is hard, even if it is enough to prove that a single curve does not belong to the locus.

6.2 EXAMPLE. If  $s = 1$ , then  $r = 2i + 2, d = 4i + 6 = 2g - 2, g = 2i + 3$ . In this case, there is only one  $g_{2g-2}^{g-1}$ , the canonical bundle of  $C$ , hence in this case  $\mathcal{G}_d^r \rightarrow \mathcal{M}_g$  is the identity. The locus  $\mathcal{Z}_{g,i}$  of curves in  $\mathcal{M}_{2i+3}$  such that  $k_{1,2}(C, k_C) \neq 0$  has attracted a lot of attention in the last years because of the Green’s conjecture, stating that for every curve  $C$ , we have  $k_{p,2}(C, k_C) = 0$  if and only if  $p < \text{Cliff}(C)$ , and  $\text{Cliff}(C) = \lfloor (g - 1)/2 \rfloor = i + 1$  for the generic curve. If the conjecture holds, then  $\mathcal{Z}_{g,i}$  is, as a set, the locus of curves with a  $g_{i+2}^i$ , that is,  $\mathcal{M}_{g,i+2}^1$ . Remarkably, also the converse is true, so  $\mathcal{Z}_{g,i} \neq \mathcal{M}_{2i+3}$  is equivalent to Green’s conjecture for generic curves. This is a result of Voisin.

6.3 THEOREM. *There is an explicit expression for the virtual class  $[\sigma_*(\mathcal{Z}_{g,i})] \in H^1(\overline{\mathcal{M}}_g)$ , and its slope is always less or equal than  $6 + 12/(g + 1)$ , with strict inequality for  $s \geq 2$  and equality for  $s = 1$ .*

6.4 EXAMPLE. Though the expression is quite long, in some cases it is nice: for  $s = 2, r = 3i + 4, d = 9i + 12, g = 6i + 10, L = k_C(-g_{3i+6}^1)$ , we have that the slope is

$$s(\sigma_*(\mathcal{Z}_{i,0})) = \frac{3(4i + 7)(6i^2 + 19i + 12)}{(i + 2)(2i^2 + 31i + 18)}.$$

In the case  $g = 22, \mathcal{Z}_{22}$  is the locus of curves admitting a  $g_{30}^{10}$  with  $k_{2,2} \neq 0$ . This is again in contrast with the slope conjecture, because the slope of this divisor is  $1665/256 = 6.5003\dots < 6 + 12/23$ . But this is still not enough to prove that  $\mathcal{M}_{22}$  is of general type: recall that  $\mathcal{M}_g$  is of general type if and only if there exists  $D \subseteq \mathcal{M}_g$  with slope less than  $13/2$ .

It is known that Koszul divisors are divisors for  $s = 1$  and  $i = 0$ . In general, the slope of the Koszul divisors always lie in the range  $(6 + 10/g, 6 + 12/g + 1)$ : the left endpoint is the slope of a pencil of 1-nodal curves on a  $K3$  surface.

But, there is another candidate that succeed in proving that  $\mathcal{M}_{22}$  is of general type. We consider  $\varrho = 1$  and in particular linear systems  $g_{25}^6$ . There are  $\infty^1$  of these systems, and  $\mathcal{G}_{25}^6 = \{(C, L) \mid g(C) = 22, L = g_{25}^6 = k_C(-g_{17}^2)\}$ . The fibers over  $\mathcal{M}_{22}$  are one dimensional, called  $W_{25}^6(C)$ . On  $\mathcal{G}_{25}^6$  one defines two tautological bundles:  $\mathcal{E} := S^2 H^0(L)$  and  $\mathcal{F} := H^0(L^2)$ . There is a morphism  $\chi: \mathcal{E} \rightarrow \mathcal{F}$  given by the multiplication of sections and  $\text{rk } \mathcal{E} = 28$ , while  $\text{rk } \mathcal{F} = 2 \deg L + 1 - g = 29$  (by Riemann-Roch). Hence  $\mathcal{E} \rightarrow \mathcal{F}$  is not injective, and we can look at the locus where the rank is 27, that is,  $\mathcal{Z} = \{(C, L) \mid \chi(\mathcal{E}, \mathcal{F}) \text{ non-injective}\}$ . Another interpretation is that  $\mathcal{Z}$  is the

$\lim_{g \rightarrow \infty} s(\overline{\mathcal{M}}_g) = 0$	$\lim_{g \rightarrow \infty} s(\overline{\mathcal{M}}_g) > 0$
Morrison, Chen, Pandharipande	Farkas

Table 1: The bet on the limit of the slope.

locus of  $(C, L)$  such that there exists a  $g_{25}^6$  such that the embedded curve lies on a quadric. This has expected codimension 2 in  $\mathcal{G}_{25}^6$ , so the image  $\sigma_*(\mathcal{Z})$  should be a divisor in  $\mathcal{M}_{22}$ .

6.5 THEOREM. *The subvariety  $\sigma_*(\mathcal{Z})$  is indeed a divisor on  $\mathcal{M}_{22}$ , that is, a general curve  $C$  does not lie on a quadric in any of the  $\infty^1$  embeddings  $g_{25}^6$ . Moreover,  $s(\sigma_*(\mathcal{Z})) = 17121/2636 = 6.4956\dots$ , hence  $\mathcal{M}_{22}$  is of general type.*

Another interesting question is what is the asymptotic behaviour of  $s(\overline{\mathcal{M}}_g)$  for  $g \rightarrow \infty$ . This would be interesting for the Schottky problem, that is, finding a characterization of the image of the Torelli map  $t: \overline{\mathcal{M}}_g \rightarrow \overline{\mathcal{A}}_g$ , where the compactification  $\overline{\mathcal{A}}_g$  is such that the boundary is irreducible. Tai proved that the limit of the slope of  $\overline{\mathcal{A}}_g$  is 0, and one could ask if this could be pulled back on  $\overline{\mathcal{M}}_g$ . In this case one could hope to find another solution of the Schottky problem.

We already saw that  $s(\overline{\mathcal{M}}_g) < 6 + 12/(g + 1)$  for  $g \gg 0$ . There has been an attempt to find a lower bound by Harris-Morrison: they looked for a curve  $Y \subseteq \overline{\mathcal{M}}_g$  that is sweeping the space; then every effective divisor  $D \subseteq \overline{\mathcal{M}}_g$  must intersect the curve non-negatively. If  $[D] = a\lambda - b\delta$ , this means that  $a\lambda \cdot Y \geq b\delta \cdot Y$ , hence  $s(D) \geq \delta \cdot Y / \lambda \cdot Y$  and  $s(\overline{\mathcal{M}}_g) \geq \delta \cdot Y / \lambda \cdot Y$ . The problem is that it is very hard to find such curves.

A possibility is to consider the space  $\mathcal{H}_{g,h}$  of  $k : 1$  covers of  $\mathbb{P}^1$  with  $b = 2g + 2k - 2$  simple branch points. This has two projections,  $\pi_1$  to  $\overline{\mathcal{M}}_g$  and  $\pi_2$  to  $\overline{\mathcal{M}}_{0,8}$ . Letting  $p_b$  move in  $\mathbb{P}^1$  one get a moving curve  $F \subseteq \overline{\mathcal{M}}_{0,8}$ , and so a moving curve  $(\pi_1)_*\pi_2^*(F)$  on  $\overline{\mathcal{M}}_g$ . The computations here are very heavy. The term  $\delta \cdot Y / \lambda \cdot Y$  can be computed using the characters of  $S_k$ , and it can be done for any finite genus. The heuristic says that its limit for  $g \rightarrow \infty$  is  $576/5g = O(1)$ , so the slope of  $\overline{\mathcal{M}}_g$  should be at least  $576/5g$ , which is not so very satisfactory, being so far from  $6 + 12/(g + 1)$ .

Another approach was done by Dawa-Chen using Teichmüller curves  $T_{g,t}$ , that are analytic curves in  $\overline{\mathcal{M}}_g$  still defined in terms of covers. These curves are rigid in  $\overline{\mathcal{M}}_g$ , but there are many of them and their union is dense in  $\overline{\mathcal{M}}_g$ . So,  $s(\overline{\mathcal{M}}_g) \geq \inf_t T_{g,t} \cdot \delta / T_{g,t} \cdot \lambda$ , and the interesting thing is that the limit of this infimum is (heuristically) the same:  $576/5g$ . This could be justified by the fact that both approaches uses covers.

The third attempt, from Pandharipande, uses the same approach of Harris-Morrison but in a simpler way: he construct a moving curve as a complete intersection of numerically ample effective divisors: he took the universal curve  $\pi: \overline{\mathcal{C}}_g \rightarrow \overline{\mathcal{M}}_g$  and the universal cotangent bundle  $\psi$ , which is nef. Then,  $\pi_*(\psi^{3g-3})$  is a moving curve, and we have that the slope of  $\overline{\mathcal{M}}_g$  is at least  $60/(g + 4)$  and this is not an heuristic but an actual result.